

# Research Administrators' Network Meeting

## AGENDA

May 20, 2020

2:00-3:30 p.m.

Zoom Webinar

### **Welcome & Introductions [2:00-2:15]**

Cathy Liebowitz, RAAC Communications Subcommittee Chair

Rebecca Cunningham, Vice President for Research

Terri Maxwell, Guest Emcee

### **Export Controls [2:15-2:35]**

Krista Campeau, Export Control Officer and Director, Export Control Program

### **COVID-19 Updates [2:35-2:55]**

Craig Reynolds, Assistant Vice President for Research - Sponsored Projects

Debbie Talley, Director, Sponsored Programs

### **Updates [2:55-3:25]**

**ORSP** - Craig Reynolds, Assistant Vice President for Research - Sponsored Projects  
[2:55-3:00]

**Research Ethics & Compliance Update** - April Pepperdine, UMOR COI  
Director &

Lori Deromedi, UMOR Research Ethics & Compliance [3:00-3:05]

**Sponsored Programs** - Debbie Talley, Director, Sponsored Programs [3:05-3:10]

**ITS** - Cathy Handyside, Assistant Director, eResearch Administration Systems, ITS  
[3:10-3:20]

**Navigate / Professional Societies** - David Mulder, Project Manager, International  
Security and Compliance [3:20-3:25]

### **Closing Remarks [3:25-3:30]**

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RAN schedule for the rest of this

Academic Year:

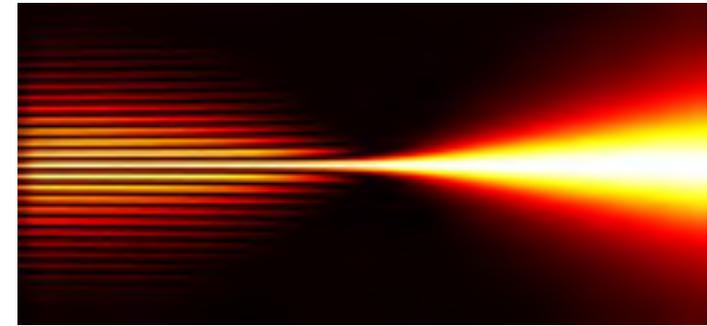
<http://orsp.umich.edu/ran>

Ideas for a future meeting?

Contact [ran-plans@umich.edu](mailto:ran-plans@umich.edu)

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*Brought to you by the Research Administration Advisory Council (RAAC) Communications Subcommittee.*



# Export Control Compliance – RAN presentation

Krista L. Campeau, J.D., ECoP®

Director, Export Control & Research Information Security  
Oversight Programs

<https://research-compliance.umich.edu/export-controls>



# Why do research administrators need to learn a little bit about export control compliance?

- You fill out the PAF's and submit proposals
- You process UFA's for incoming materials/ equipment and outgoing shipments
- You typically know when your faculty member is traveling internationally
- You typically know when a visiting scholar is coming to your department
- You make the first decision whether to check the PAF "yes" or "no" for an export control review

# Research administrators need to be able to spot export control issues and know where to triage for review





## Welcome

Ethics and compliance in research covers a broad range of activity from general guidelines about conducting research responsibly to specific regulations governing a type of research (e.g., human subjects research).

### U-M Compliance Core Principles

- 1** Foster ethical conduct
- 2** Ensure compliance with federal and state regulations
- 3** Define U-M compliance guidelines
- 4** Safeguard U-M researchers and research

## U-M Compliance Programs

<p><b>ANIMAL USE &amp; CARE</b></p> <p>Ut wisi enim ad minim veniam, quis nostrud exerci tation ullamcorper feugait nulla facilisi. Lorem ipsum dolor.</p> <p><b>GO</b></p>	<p><b>HUMAN SUBJECTS</b></p> <p>Ut wisi enim ad minim veniam, quis nostrud exerci tation ullamcorper feugait nulla facilisi. Lorem ipsum dolor.</p> <p><b>GO</b></p>	<p><b>PLURIPOTENT STEM CELLS (HUMAN)</b></p> <p>Ut wisi enim ad minim veniam, quis nostrud exerci tation ullamcorper feugait nulla facilisi. Lorem ipsum dolor.</p> <p><b>GO</b></p>	<p><b>RESEARCH SAFETY</b></p> <p>Ut wisi enim ad minim veniam, quis nostrud exerci tation ullamcorper feugait nulla facilisi. Lorem ipsum dolor.</p> <p><b>GO</b></p>
<p><b>RESEARCH INTEGRITY</b></p> <p>Ut wisi enim ad minim veniam, quis nostrud exerci tation ullamcorper feugait nulla facilisi. Lorem ipsum dolor.</p> <p><b>GO</b></p>	<p><b>CONFLICT OF INTEREST</b></p> <p>Ut wisi enim ad minim veniam, quis nostrud exerci tation ullamcorper feugait nulla facilisi. Lorem ipsum dolor.</p> <p><b>GO</b></p>	<p><b>CONTROLLED SUBSTANCES</b></p> <p>Ut wisi enim ad minim veniam, quis nostrud exerci tation ullamcorper feugait nulla facilisi. Lorem ipsum dolor.</p> <p><b>GO</b></p>	<p><b>EXPORT CONTROLS</b></p> <p>Ut wisi enim ad minim veniam, quis nostrud exerci tation ullamcorper feugait nulla facilisi. Lorem ipsum dolor.</p> <p><b>GO</b></p>



## Export Control Compliance Around the University

- Purchasing (incoming) – standard language
- International Shipping (outgoing) - classification of items
- Restricted Party and Entity screening across campus
- Visiting scholars and other visiting entities
- ORSP: Sponsored research agreements
- OTT: MTA's, NDA's and licenses
- Provost's Office - International Agreements
- International Travel – guidance to travelers
- International campuses/institutes - RPS
- Gifts – screening donors
- Online Learning – students located in embargoed countries

# Why do we have Export Control regulations?



# Export Controls for Research Administrators

## 1) **What sorts of things are export-controlled?**

- Export Control Regulations Overview
- Key definitions: “Terms of Art”

## 2) **How is export-controlled research managed at U-M?**

- eResearch Proposal Management

## 3) **My department doesn't do export-controlled research, so what else do I need to worry about?**

- Visitors and Visiting Scholars
- International travel & shipping
- Restricted Party Screening

# 1) What sorts of things are export-controlled?

Yes, we are talking about research involving military equipment, nuclear technology, explosives, and rocket systems.

But also:

Research involving “Dual Use” items such as computers, cell phones, GPS, biologics and microorganisms can be controlled, as well as...

International Travel and providing “services” to the embargoed countries or destinations covered by economic sanctions



# What is controlled?

## Defense Articles (Military)

State Department – Directorate of Defense Trade Controls (DDTC)



- International Traffic in Arms Regulations (ITAR)
- Items subject to ITAR
  - Items designated on the U.S. Munitions List (USML)
  - Items “specially designed” for one of the items on USML
  - Includes technical data and defense services (training)
- Items are controlled to all destinations
- Civil and Criminal sanctions for violations

# International Traffic in Arms Regulation (ITAR)



## U.S. Munitions List – 21 categories:

Category I	Firearms, Close Assault Weapons and Combat Shotguns
Category II	Guns and Armament
Category III	Ammunition/Ordnance
Category IV	Launch Vehicles, Guided Missiles, Ballistic Missiles, Rockets, Torpedoes, Bombs, and Mines
Category V	Explosives and Energetic Materials, Propellants, Incendiary Agents, and Their Constituents
Category VI	Surface Vessels of War and Special Naval Equipment
Category VII	Ground Vehicles
Category VIII	Aircraft and Related Articles
Category IX	Military Training Equipment and Training
Category X	Personal Protective Equipment
Category XI	Military Electronics
Category XII	Fire Control, Range Finder, Optical and Guidance and Control Equipment
Category XIII	Materials and Miscellaneous Articles
Category XIV	Toxicological Agents, Including Chemical Agents, Biological Agents, and Associated Equipment
Category XV	Spacecraft Systems and Related Articles
Category XVI	Nuclear Weapons Related Articles
Category XVII	Classified Articles, Technical Data and Defense Services Not Otherwise Enumerated
Category XVIII	Directed Energy Weapons
Category XIX	Gas Turbine Engines and Associated Equipment
Category XX	Submersible Vessels and Related Articles
Category XXI	Articles, Technical Data and Defense Services Otherwise Not Enumerated

# What is controlled?

**Dual Use Items** (Commercial goods and technology that could have military applications)

Commerce Department – Bureau of Industry and Security (BIS)



- Export Administration Regulations (EAR)
- Items listed on the Commerce Control List (CCL)
  - CCL covers items not on the USML
  - Characteristics of item determines controls
  - Items are controlled only to specific countries
  - Many license exceptions available
- Civil and Criminal sanctions for violations

# Export Administration Regulations (EAR)

Commerce Control List (CCL) – 10  
categories



Category 0	Nuclear Materials, Facilities and Equipment [and Miscellaneous Items]
Category 1	Special Materials and Related Equipment, Chemicals, "Microorganisms," and "Toxins"
Category 2	Materials Processing
Category 3	Electronics
Category 4	Computers
Category 5	Telecommunications and "Information Security"
Category 6	Sensors and Lasers
Category 7	Navigation and Avionics
Category 8	Marine
Category 9	Aerospace and Propulsion

# What is controlled?

## Embargoed Countries

Treasury Department – Office of Foreign Assets Control (OFAC)



- Economic sanctions, trade restrictions and embargoes imposed against specific countries for reasons of foreign policy and national security
- Comprehensive embargoes: Cuba, Crimea region of Ukraine, Iran, Syria, North Korea
- Civil and Criminal Sanctions for violations
- OFAC has over 20 different sanction programs for various countries – no list of controlled technologies

# Department of Energy

- 10 CFR 810 controls the transfer of unclassified nuclear technology (covers transfer of documents, knowledge, expertise)
- Regulates assistance to foreign atomic energy activities in the U.S. or elsewhere

## Nuclear Regulatory Commission

- Regulations cover tangible items like nuclear materials and exports of equipment, etc

*All regulations need to be analyzed together*

## Summary

### What sorts of things are export controlled?



- Technical data can be export controlled as well as materials, equipment, chemicals, biologics, etc
- Many technologies that are military in nature are controlled under the ITAR (U.S. Munitions List)
- Technologies that are dual use are controlled by the EAR (Commerce Control List)
- Many services provided within (and sometimes travel to) the embargoed countries are controlled by OFAC
- Nuclear regulations are found under DoE and NRC



## Regulatory Definitions “Terms of Art”

# What is an “Export” and “Deemed Export?”

- Export
  - Physical shipments outside of the U.S.
- Deemed Export
  - Transfer (even a release of information) to a non-U.S. Person in the U.S. is “deemed” to be an export to that person’s home country

This is why ORSP and the Export Control Program inquire as to the citizenship of U-M project personnel on export controlled projects (potential deemed exports)

# What does “Foreign Person” mean under the Export Regulations?

- “Non-U.S. Person,” “Foreign Person,” and “Foreign National” are all used interchangeably under the export regulations
- Defined as anyone not a “U.S. Person” – not a citizen or a lawful permanent resident (green card holder)
  - Work or student visa does not change status
  - NDA to keep export controlled information confidential does not change status of foreign national and create compliance
- Foreign persons working on export controlled research projects may need to apply for an export license before they are able to work on an export controlled project

# What is “Fundamental Research?”

- Commerce Department definition for export controlled projects
  - Basic and applied research in science and engineering
  - The resulting information is “ordinarily published” and shared broadly in the scientific community
- State Department – has a similar definition that is a little narrower in that the research needs to take place at an “accredited institution of higher learning in US”
- The conduct of and results of fundamental research are not controlled under Export Control regulations

# Fundamental Research and Sponsored Research

- The loss of the FRE can occur through language in sponsored research awards
- Contracts and grants (even NDA's or side letters) that contain the following are problematic:
  - Publication restrictions/approval (review is ok)
  - Restrictions on foreign nationals (citizenship restrictions)
  - Assignment of ownership of IP to Sponsor is problematic
  - FAR/DFAR clauses – e.g. 252.204.7000
- These restrictions can disqualify the research as fundamental if the results are no longer able to be published and shared broadly with the scientific community



# Summary

## Regulatory Definitions

### “Terms of Art”

- Exports (shipping) and Deemed Exports (transfers to non-U.S. Persons here at U-M) are ways that export controlled technology can be “exported”
- Fundamental Research is research without restrictions
- If we are doing Fundamental Research, and have an intent to publish, then we are not subject to the export regulations
- If we are conducting research with restrictions (or research that we have been informed is export controlled) then we must put controls in place for that research here at U-M

## 2) How is Export Controlled research managed?

### It starts with flagging a PAF for an Export Control review in eResearch

- Research administrators and faculty filling out the PAF Worksheet must answer Question 5.6 about export controls.
- PAF's marked "yes" or "unsure" to Question 5.6 are forwarded to the Export Control Program for review.
- If in doubt, please mark "unsure" and then the PAF will be forwarded to the Export Control Program for review
- Look for acronyms: ITAR, EAR, OFAC, etc
- If you don't flag it, we can't catch it for a review

## 5.6 Does the research project involve possible export controls or the delivery of a physical item, such as a product or material, including models and prototypes?

Yes, No, Unsure

### ? HELP

Export controlled research may include:

- 1) Research involving restricted science or engineering areas, such as military/defense areas, missiles, weapons, explosives, satellites or space technology, select agents, pathogens, toxins, or encryption technology;
- 2) Physical items generated in research may be export controlled because hardware, materials and other items not covered under the fundamental research exclusion. These items must be reviewed by the Export Control Program before they are sent to a research sponsor or shipped internationally.

See the UM export control webpage for more information: <http://research-compliance.umich.edu/export-controls?print=>

# UFA Worksheets also have export control questions:

Are the materials that you will be receiving export controlled?\* 

- Yes
- No
- Unsure**

Does the research you will be conducting with the materials involve possible export controls, classified research, or security clearances?\* 

- Yes
- No**
- Unsure

## 2) How is Export Controlled research managed at UM?

For Export Controlled research projects conducted at U-M:

- A Technology Control Plan (TCP) may be written as a management plan to protect export controlled technology (data, materials, equipment, etc) housed at U-M
- If a non-U.S. Person needs to work on an export controlled project here at U-M? An export license may be needed.
  - Export Control Program will apply to the U.S. gov't for permission for a non-U.S. person to work on an export controlled project
  - Licenses are not always approved, so Foreign Persons cannot begin work on export controlled projects until U-M's export license is approved by the U.S. gov't

# Technology Control Plan – sample content

## Physical Security

- Hard-copy export controlled information should be marked and stored in a locked drawer or cabinet.
- Doors to offices/labs will not be left open when certain export controlled data and/or equipment is visible on desk or workspace.
- Post sign on closed door that export controlled work is in progress – no unauthorized personnel can access.
- If foreign visitors to a lab, ITAR-controlled data, documents, equipment, etc. must be covered/shielded from view.

## Electronic Security

- Desktop/laptop computers and flash drives with export controlled data and information will have encrypted folders, files, and/or hard drives.
- All computers etc. with export controlled data will be locked and password protected when unattended.
- All devices that contain export controlled data will be clearly labeled as such.
- Removable storage devices with export controlled data should also be stored in a locked drawer or cabinet.

# Export Control Agreements (including TCP's and export licenses) are Unfunded Agreements (UFA's) in eResearch

eResearch **M** Proposal Management View: PAF - 13-PAF06613

Hide/Show Errors | Print... OK

### Proposal Approval Form

**Project Title:** Low Dose Tomographic System Based on a Novel Narrowband, Tunable, Multi-MeV X-Ray Source

**Project Long Title:** Low Dose Tomographic System Based on a Novel Narrowband, Tunable, Multi-MeV X-Ray Source

**PAF ID:** 13-PAF06613 **Proposal Type/Class Code:** On-Campus Research (22000)

**Current PGN (For Renewal/Continuation or Supplemental Request):**

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**SPONSOR INFORMATION**

Are there any non-financial agreements (e.g., material transfer, data use, software license, non-disclosure, confidentiality, or teaming agreements) in place related to this proposal? yes



Related Unfunded Agreements:

ID	State	Category	PI Last Name
15-UFA01065	Active	Export Control Agreement	Pozzi

Legacy ORSP Special Service Proposal (SSP):

SSP Number	Description
There are no items to display	

Is an intellectual property disclosure related to this proposal on file in the Office of Technology Transfer? no

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**SPACE**

**UNIVERSITY SPACE FOR UM INVESTIGATORS:**

Name	UM Role
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## 2) How is Export Controlled Research managed at U-M?

### eResearch – “Request Export Review”

#### PAF History

#### Activity

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Export Control Review Requested

Defense applications with goal of prototype.

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- ORSP PR's and the Export Control Program communicate back and forth in eRPM using the activity “Request Export Control Review” on a PAF, UFA or AWD
- “Export Control Review” is documented and resolved in Activity History on the PAF, UFA, AWD



## eResearch and Gmail are not export control compliant!

- Do not upload export controlled documents to eRPM and do not send them through Gmail. This includes SOW's, reports, and other documents that are marked "export controlled" or otherwise contain export controlled technical data, schematics, etc.
- Instead, use an export-compliant system, like Exchange email to send documents or if you need help, contact [exportcontrols@umich.edu](mailto:exportcontrols@umich.edu)



## Videoconferencing systems such as Zoom and Bluejeans are **not** export control compliant!

- Do not discuss or share export controlled technical data (including screenshots, screenshares, or recordings) using these systems as they are cloud based and do not provide end-to-end encryption protections.
- For more information, visit U-M's Information Assurance Office, Sensitive Data Guide, at <https://safecomputing.umich.edu/dataguide/>



## Summary

### How is Export Controlled Research managed at U-M?

- TCP's (manage EC projects) and export licenses (grant U.S. gov't approval for a non-U.S. Person to work on an export controlled project)
- eResearch Proposal Management
  - PAF and UFA questions flag projects
  - ORSP and EC Program communicate using "Request EC Review"
  - Export Control Agreements are UFA's

### 3) My department doesn't do export controlled research, so what else do I need to worry about?

Please register your travel!

<https://global.umich.edu/travel-resources/register-your-travel/>

- All U-M faculty, students, and staff traveling abroad for University-related purposes must register their travel prior to departure.
- This allows U-M to reach out to you in the event of an emergency.
- This also allows the Export Control Program to be notified if you register travel to one of the comprehensively embargoed countries. We will reach out to you for more information about your trip.
- Note: If you are traveling to Iran for a University related business purpose, such as attending or presenting at a conference, please contact us as far in advance as possible, so that we can help you apply for an OFAC license.

# Restricted Party Screening

- All major federal agencies have lists of individuals and entities who the University is not allowed to do business with
- Export Control Program uses Descartes Visual Compliance - web-based tool that screens multiple lists at one time
- Examples of who should be screened:
  - ✓ Sponsors
  - ✓ Vendors
  - ✓ Visitors and international collaborators
  - ✓ U-M personnel on export controlled projects
- Much of this screening takes place behind the scenes by central offices



## **U.S. Department of State**

AECA Debarred list: Individuals and entities prohibited from participating in defense trade due to ITAR violations, etc

## **U.S. Department of Treasury**

SDN and Blocked Persons list: individuals and entities owned or controlled by targeted countries, also other "bad guys" like narco-traffickers, etc

## **U.S. Department of Commerce**

Entity List: entities involved in activities contrary to U.S. national security or foreign policy

Denied Persons List: Persons denied export privileges

Unverified List: BIS cannot verify their legitimacy

# Restricted Party Screening is always a good idea!

Are you hosting international visitors or visiting scholars in your department?

- The Export Control Program will run a quick screen for you to confirm that the international entity and individual you are hosting are not on any U.S. government restricted lists
- It's often not the visitors themselves, but the entity or institution they are coming from, that is restricted in some way
- Request restricted party screening by filling out the Google form here: <https://research-compliance.umich.edu/export-controls/restricted-party-screening>

# QUESTIONS?

Krista Campeau  
(734) 615-0672  
[exportcontrols@umich.edu](mailto:exportcontrols@umich.edu)

# COVID-19 Update

## Research Administrators' Network

**Craig Reynolds**, Assistant Vice President for Research - Sponsored Projects

**Debbie Talley**, Director, Finance-Sponsored Programs

May 20, 2020





# CHALLENGES

I EXPECTED TIMES LIKE THIS- BUT NEVER  
THOUGHT THEY'D BE SO BAD, SO LONG, AND SO FREQUENT.



# Biggest Risks During Ramp Down



- **Contracts**

- Stop work orders / Early termination / Delayed payments / SOW reductions / Breach of contract / Research delays

- **Grants**

- Unreimbursed salaries of personnel who are unable to work / Disallowed costs / Research delays

- **Contracts**

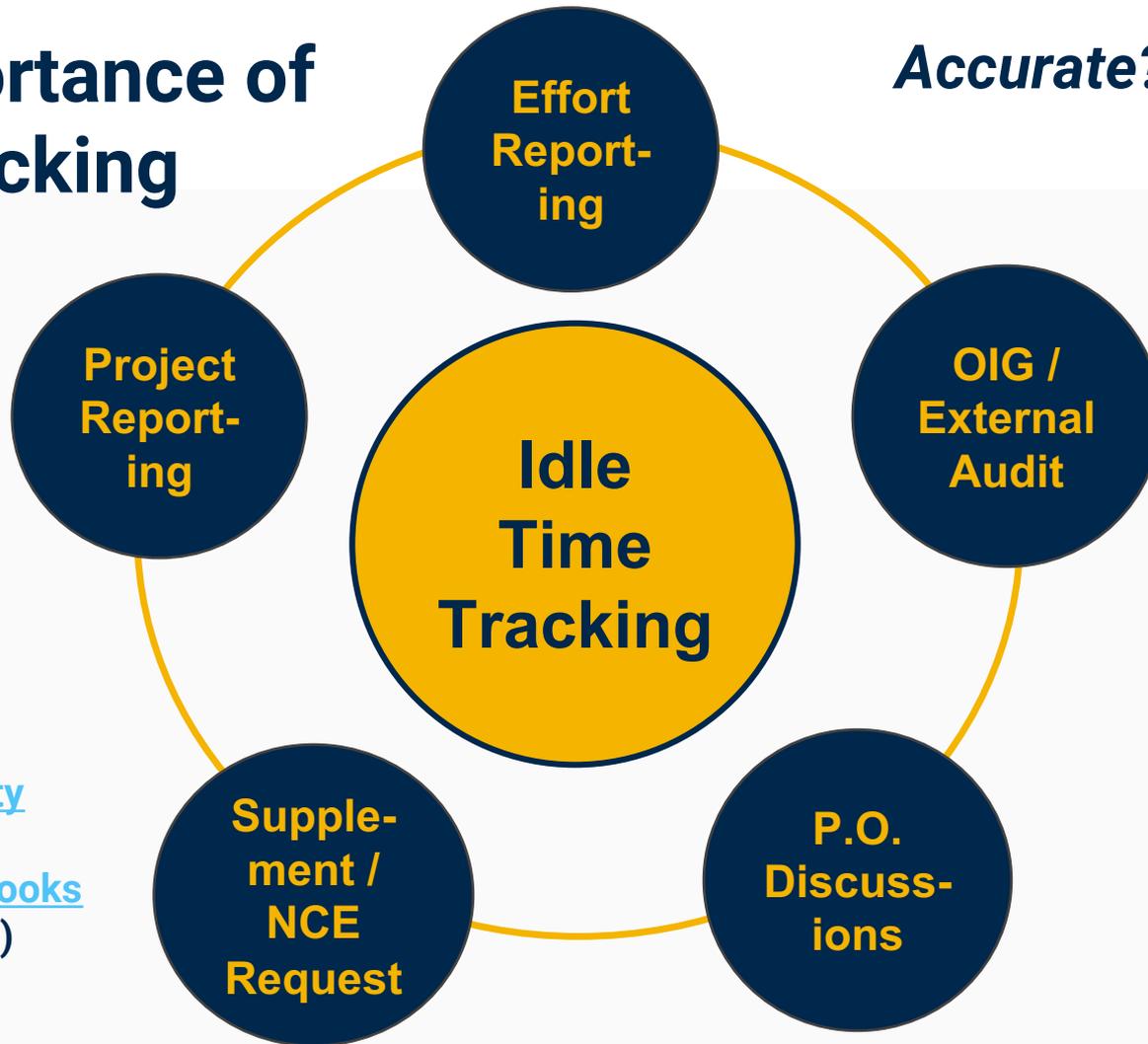
- Know terms / Keep communication lines open / OMB memo / Watch receivables / More time, funding or scope change?

- **Grants**

- Know terms / Sponsor (OMB) flexibilities / Document everything

# The Importance of Time Tracking

*Accurate?*



[Academic HR](#)  
[Library of Faculty](#)  
[Exception Time](#)  
[Tracking Workbooks](#)  
(Box.com folder)

*Consistent?*

Credit: J. Luther,  
Duke Univ.

# Contracts OK During Ramp Down if...



1. Sponsor must pay prior to work beginning
2. Sponsor must (a) provide  $\geq 30$  days notice if terminating and (b) pay non-cancellable obligations
3. Expenditures do not exceed revenues through same accounting period
4. Unexpended balance on committed funds  $\leq$  \$100K
5. No added personnel or total purchases  $>$  \$100K
6. Contract is for an ongoing clinical trial

# U-Year Faculty Salary During Summer of COVID-19



- U-Yr faculty able to work on externally-funded award during academic year may receive summer salary from award
- U-Yr faculty unable to work on externally-funded award during academic year, but can work on another award or U-M activity during the summer, may receive summer salary from the other award or U-M activity
- U-Yr faculty unable to work on externally-funded award during the summer cannot receive summer salary from the award

# Required NIH Notices/Approvals During Pandemic



- Personnel “stranded” outside the U.S. working on an NIH project = “foreign components”
- Significant project delays
- Plans to charge effort of personnel unable to work on project to NIH award

**NIH expected to change guidance re: delays and salaries to allow for notice via the RPPR**

# Re-Engaging in Research!



- Gov. Whitmer OK'd [reopening wet lab research](#) May 15
- Research re-engaged in carefully managed waves
- First pilot wave planned to begin this week
- Access to buildings not open for wet lab research restricted
- Buildings opening for wet lab research not open for other activities
- All work that can occur remotely will continue remotely

# Research Resources in the Time of COVID-19



**U-M:** [coronavirus.umich.edu/](https://coronavirus.umich.edu/)

**UMOR:** [research.umich.edu/covid-19/covid-19-research-operations](https://research.umich.edu/covid-19/covid-19-research-operations)

**COGR:** [www.cogr.edu/cogrs-faqs-and-resources-covid-19s-impact-federal-awards](https://www.cogr.edu/cogrs-faqs-and-resources-covid-19s-impact-federal-awards)

**NIH:** [grants.nih.gov/policy/natural-disasters/corona-virus.htm](https://grants.nih.gov/policy/natural-disasters/corona-virus.htm)

**NSF:** [www.nsf.gov/news/special\\_reports/coronavirus/](https://www.nsf.gov/news/special_reports/coronavirus/)

**OMB on Grants:** [www.whitehouse.gov/wp-content/uploads/2020/03/M-20-17.pdf](https://www.whitehouse.gov/wp-content/uploads/2020/03/M-20-17.pdf)

**OMB on Contracts:** [www.whitehouse.gov/wp-content/uploads/2020/03/M-20-18.pdf](https://www.whitehouse.gov/wp-content/uploads/2020/03/M-20-18.pdf)

# ORSP Update

Research Administrators' Network

**Craig Reynolds**, Assistant Vice President for Research - Sponsored Projects  
May 20, 2020



- **You say “Goodbye”**
  - Terri Maxwell, Senior Project Representative
  - Maggie Swift, Project Representative

- **And I say “Hello”**

- Andrea Anderson, Associate Director
- David Mulder, Program Manager for International Security and Compliance
- TBD, Assistant Project Representative

# Agency Reminder: NSF



- New PAPPG effective June 1, 2020
- Must use SciENCv or NSF Fillable PDF for generation of Biosketch and Current & Pending Support



# Agency Reminder: NSF In-Kind



	Used in Project	Not Used in Project
With Associated Effort	Facilities, Equipment, Other Resources	Current & Pending
Without Associated Effort	Facilities, Equipment, Other Resources	Don't Report

# New PAF Question on Foreign Components



Introduced with June 29 eRPM release

*Q 5.13 Will anyone perform a significant portion of this project outside of the United States?*

Yes       No

Please select the country(s) in which the work will be performed\*:

- **Investigator Sign (aka PI Sign) Activity**
  - All U-M investigators on PAF required to sign the PAF, not just the PI
  - eRPM PI Sign activity getting an update
  - New questions on international engagement
  - Effective later this Fall

# Research Ethics & Compliance Update

Research Administrators' Network

**April Pepperdine**, UMOR COI Director

**Lori Deromedi**, UMOR - Research Ethics & Compliance

May 20, 2020



# COI Research Policy & M-Inform Changes



- COI Research policy revised & simplified
  - Requirement of outside activity disclosure in M-Inform - All investigators on PAFs/AWDs for any sponsored project
  - Cleanup effort of AWDs - Remove investigators no longer at U-M by May 31st
- M-Inform enhancements
  - Intuitive system design, examples/exceptions of reportable activities, and new questions to better describe activity

Policy and M-Inform changes effective July 1st

- PEERRS
  - Responsible Conduct of Research (RCR) module replacing:
    - Conflict of Interest Module
    - Good Research Practices Module
    - Authorship Module
  - Research Administration module being updated

# Sponsored Programs Update

Research Administrators Network

**Debbie Talley**, Director, Finance-Sponsored Programs

May 20, 2020



# Audits, F&A rate and Year end



## Single Audit

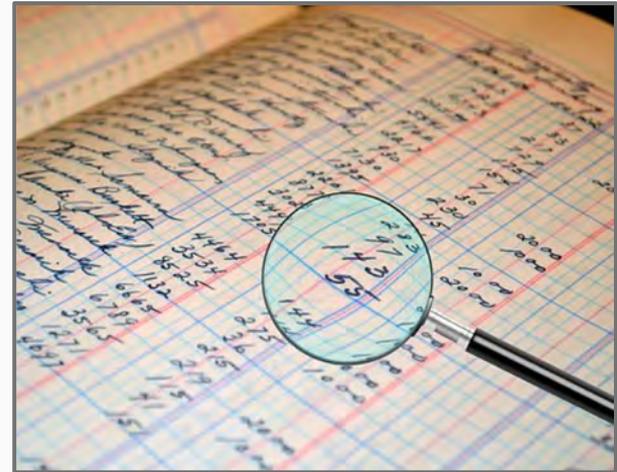
Entrance meeting May 21 - R&D included this year

F&A Rate extended for 4 years

- 56% on campus
- 26% off campus

Year end reminder to refer to memo from Controller

Website will be updated later this week.



## Furloughs

## Open positions

- RAAC Training Manager
- Accountants
- Research Admin Assistant

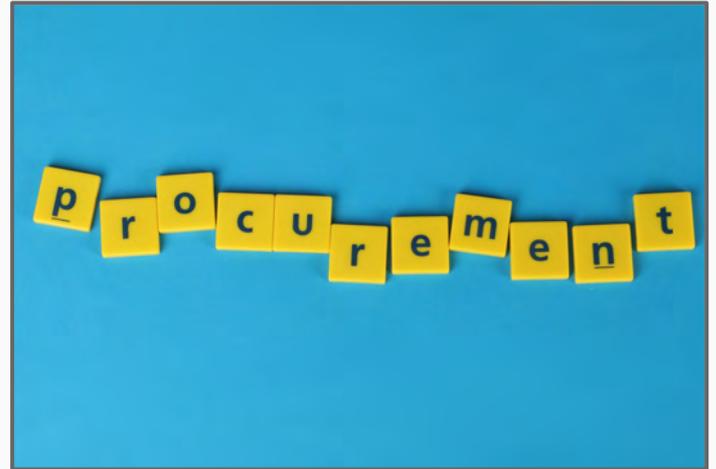
## Report due dates



# Procurement updates



- **Equipment inventory project - on hold**
- **New supplier portal**



# Fall 2020 Academic Year



- **New this year**
  - **First day of classes will begin 8/31**
    - **Communication and tools coming soon from HR**

**August** 2020

SUN	MON	TUE	WED	THU	FRI	SAT
26	27	28	29	30		1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

# ITS Update

## Research Administrators' Network

**Cathy Handyside**, Assistant Director of eResearch Administration Systems  
May 20, 2020



# Upcoming System Updates - SF424 Forms



- SF424 Update 9.2 - June 1, 2020
  - Country & State Code changes = updated Forms
  - Updated 15 most frequently used forms
  - May impact the Copy Grants.gov Information Activity
- SF424 Update 9.3 - mid/late June/July
  - New version of PHS Human Subjects and Clinical Trials Information due to Country & State Code updates

# System Updates - eRPM & M-Inform



- eRPM Update 5.8 - June 29, 2020
  - Coincides with expanded disclosure requirements & M-Inform changes
- M-Inform Update - July 1, 2020
  - Updated outside interest disclosure form
  - Updated home page and upgraded navigation

# eRPM Highlights - Update 5.8



- New PAF question 5.13 - Foreign Engagement
- New Data Use Agreement questions
- Updates to SUBKs

# PAF Updates for Foreign Engagement



- New PAF Question 5.13 & 5.13.1

## 5.13 \*

Will anyone perform a significant portion of this project outside of the United States?

Yes  No [Clear](#)

## 5.13.1 \*

Please select the country(ies):

+ Add

**Country**

There are no items to display

# PAF Updates for Foreign Engagement



- Country selection is a drop-down list
- Can add multiple countries, as needed

5.13.1 \*  
Please select the country(ies):

\* Required

AFG: AFGHANISTAN  
XQZ: AKROTIRI  
ALB: ALBANIA  
DZA: ALGERIA  
AND: ANDORRA  
AGO: ANGOLA  
AIA: ANGUILLA

OK Cancel

A screenshot of a web form titled "5.13.1 \* Please select the country(ies):". The form has a light gray header and a white body. A dropdown menu is open, showing a list of countries with their three-letter codes: AFG: AFGHANISTAN, XQZ: AKROTIRI, ALB: ALBANIA, DZA: ALGERIA, AND: ANDORRA, AGO: ANGOLA, and AIA: ANGUILLA. The first item, "AFG: AFGHANISTAN", is highlighted in blue. To the left of the dropdown is a red asterisk and the text "\* Required". To the right of the dropdown are two dark gray buttons labeled "OK" and "Cancel". The entire form is enclosed in a blue border.

# PAF Updates for Foreign Engagement



- Updated **4.4 Location** Question and Help text
  - Updated question text to indicate this is for U-M employees.

## 4.4 Location \*

Will U-M employees be working off U-M property?

Yes  No [Clear](#)

## ? HELP

U-M property includes any property that is owned or leased by U-M (this includes anything that the space tables consider U-M property). If University of Michigan employees will be using space off U-M property, select **Yes**.

Note, if an off-campus IDC rate is being used for this project, you should answer yes to question. Details on where the work will be done must be included in 4.4.1.

# Updates to UFAs



- Data Use Agreements (DUAs) - Incoming & Outgoing
  - New question on Incoming Data Details/Outgoing Data Details to track multi-site participation.

Is this a multi-site project?\* 

Yes  No [Clear](#)

Is U-M a site contributing data to the project?\*

Yes  No [Clear](#)

Is U-M the Data Coordinating Center responsible for the collection, verification, and/or storage of data collected from more than one site involved in this multi-site project?\*

Yes  No [Clear](#)

# Navigate & Professional Societies Update

Research Administrators' Network

**David Mulder**, Program Manager, International Security and Compliance

May 20, 2020



# Navigate Update



- In-person classes for Spring Semester cancelled
- Pilots under development for online (distance learning) versions of:
  - Budgeting Basics
  - Uniform Guidance Cost Principles



# Navigate Update



## Also Under Development:

- Research Administration “Advanced/Complex Topics”
  - Starting with “Working with Graduate Students”
- BusinessObjects for Research Administrators – Pilot
- Updated Research Admin Report Library in M-Reports
  - Listing for ALL reports, not just M-Reports
  - Collaboration across multiple RAAC SubCommittees
- Updated Advanced Budgeting: Department of Defense
  - Working group kicking off now



# Navigate Update



- Conducted our first Webinar
  - Collaboration between Navigate and Taubman Health Sciences Library
  - NIH Biosketch and ScienENCv
    - Recording, slide deck, supporting resources available at:  
[Navigate](#) > Webinars > Past Sessions
  - Next webinar likely a second collaboration with Taubman's Marci Brandenburg: MyNCBI
  - Have an idea for a webinar topic? Tell the Navigate Team!
    - [navigate-research@umich.edu](mailto:navigate-research@umich.edu)



## National Organization of Research Development Professionals:

- NORDP 2020 – **May 17-20, 2020, San Antonio, TX (Cancelled)**
  - Much of the meeting content will be converted to online programming over the next six months and will be available for free to NORDP members.
- NORDP will be piloting an intensive RD101 course in Fall 2020.
  - Sign up for a trial on the [NORDP listserv](#) or sign up for [NORDP News](#) posts for updates
- **Save the Date!** 2020 NORDP Great Lakes Regional Conference
  - October 26, 2020 at the University of Indiana-Bloomington
  - Request to receive updates about the conference by sending an email to [umresearchdev@umich.edu](mailto:umresearchdev@umich.edu)



## National Council of University Research Administrators:

- Annual Meeting – **August 9-12, 2020** in **Washington, DC**.
  - Stay tuned to the [NCURA Meetings and Conferences website](#) for more information.
- Visit the [NCURA Online Learning website](#) to access on-demand content and free resources.

## Society of Research Administrators International:



- Michigan SRAI Chapter Meeting – **June 12, 2020** in **Kalamazoo, MI. (Cancelled)**
  - Planning to hold the 2021 Michigan SRAI Chapter Meeting in Kalamazoo; date TBD.
- ***Interested in getting more involved?*** The Michigan Chapter Conference Planning Committee is always seeking new members. Email [msragroup@gmail.com](mailto:msragroup@gmail.com).

<http://www.srainternational.org/about/chapters/michigan>

Thank You!

